**10/5**65**334 JAP15**'Rec'd PGT/PTO 20 JAN 2006

VIA Express Mail EV786328055US Docket Number 36856.1333

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yoshihiko NISHIZAWA

Serial No.: Unknown

Filed: Concurrently herewith

For: SURFACE MOUNTABLE DEVICE

International Application No.:
PCT/JP2004/010188

International Filing Date:
July 16, 2004

For: SURFACE MOUNTABLE DEVICE

## INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of his duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicant herewith submits the attached Form PTO-1449. Reference Nos. 5 and 6 were cited in the attached International Search Report for PCT Application No. PCT/JP2004/010188.

The relevance of Reference No. 3 is discussed on page 1 of the substitute specification filed concurrently herewith.

The relevance of Reference No. 4 is discussed on page 2 of the substitute specification filed concurrently herewith.

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Reference Nos. 1, 2, and 7 are additional references that Applicant is submitting herewith.

Applicant does not submit herewith copies of the U.S. references as this is no longer required.

The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicant respectfully requests that the cited references be made of record in the subject application.

Respectfully submitted,

Date: January 20, 2006

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Substitute	for form 14	149/PIU		Application Number	10/56533		
INEO	<b>ВМАТ</b>	ION D	ISCLOSURE	Filing Date	Yoshihiko NISHIZAWA		
				First Named Inventor			
STAT	EMEN	T BY A	APPLICANT	Art Unit			
(Use as ma	any sheets	as necessar	y)	Examiner Name			
Sheet 1 of 2			2	Attorney Docket Number	36856.1333		
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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2(if</sup> known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	5,600,101	02-1997	Sakai		
·	2	5,625,935	05-1997	Kubota et al.		
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		FO	REIGN PATEN	T DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number-Kind Code <sup>5</sup> (if known)	Publication Date MM -YYYY	Country of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Le
1	3	JP 08-250620 (with English Abstract and machine translation)	09-1996	JAPAN		x
	4	JP 10-170455 (with English Abstract and machine translation)	06-1998	JAPAN		x
	5	JP 11-186092 (with English Abstract and machine translation)	07-1999	JAPAN		x
	6	JP 06-053002 (with English Abstract and machine translation)	02-1994	JAPAN		x
	7	JP 2000-068148 (with English Abstract and machine translation)	03-2000	JAPAN		X
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Examiner	Date	$\Box$
Signature	Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Strandard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.



PTO/SB/08A (04-03)

Substitute for form 1449/PTO  INFORMATION DISCLOSURE  STATEMENT BY APPLICANT  (Use as many sheets as necessary)					Complete if Known			
					Application Number	10/5653		
					Filing Date	3011		
					First Named Inventor	Yoshihiko NISHIZAWA		
					Art Unit			
					Examiner Name			
Sheet	2	of	2		Attorney Docket Number	36856.1333		
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NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title  Of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	8	International Search Report for PCT Application No PCT/JP2004/010188, mailed November 9, 2004.	x			

Examiner	Date	
Signature	Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.